

# Algorithm Design and Analysis

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Introduction & multiplication

How was your break!?

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# The Big Questions

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- Who are we?
- Why are we here?
- What is going on?

Who are we?

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# We are ...

Lecture  
1~12

- Instructors

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Lecture  
13~24

- We are from John Hopcroft Center for Computer Science!

- <https://jhc.sjtu.edu.cn/>
- Our Homepage:
  - [www.zyhwtc.com](http://www.zyhwtc.com)
  - <https://jhc.sjtu.edu.cn/~bstao>

- Ta

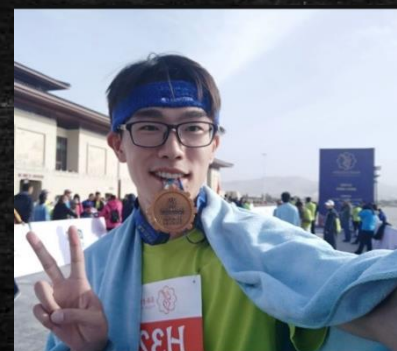
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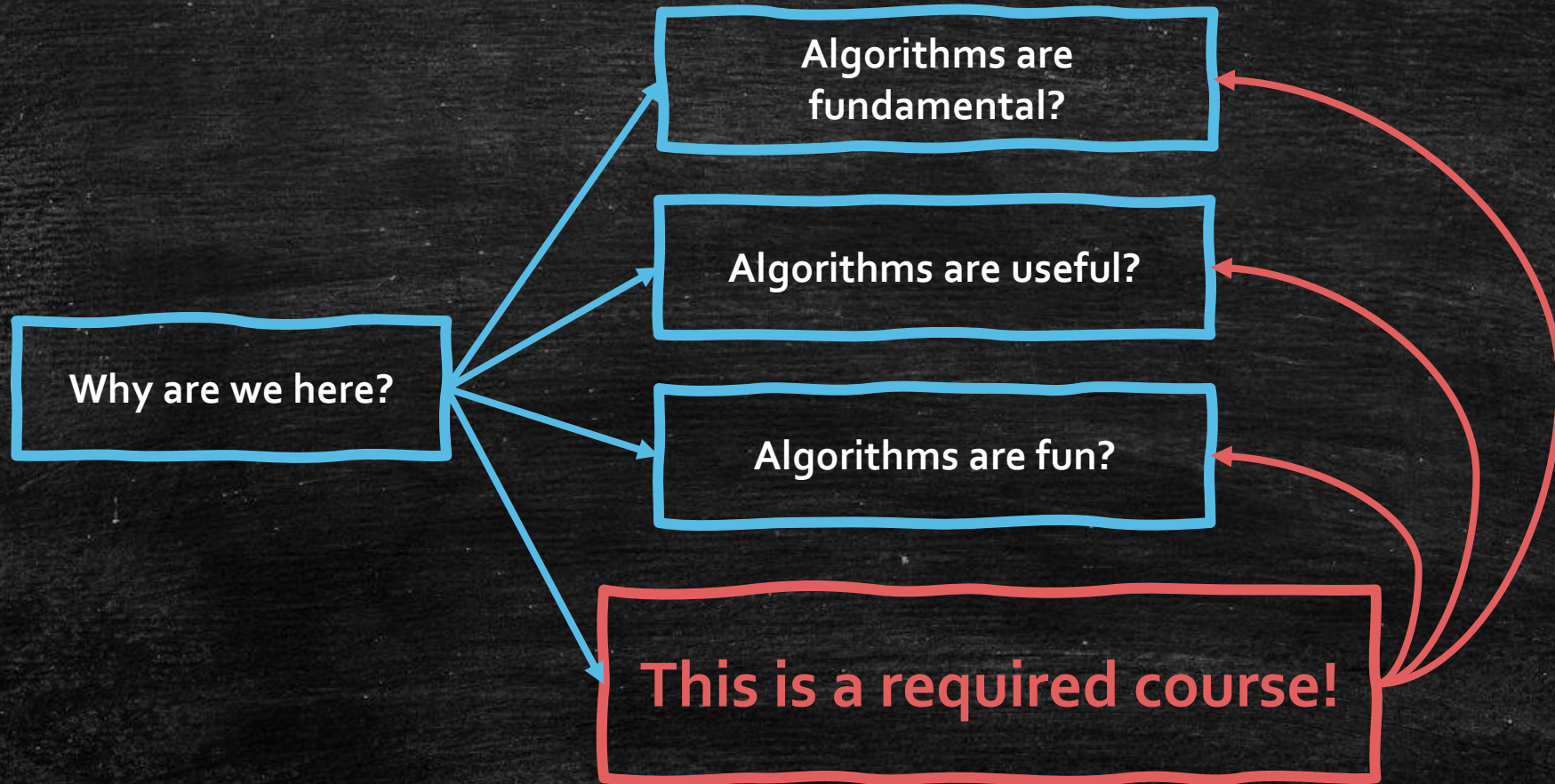
杨宗翰

Why are we here?

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Algorithm!

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# Algorithms are fundamental!



Operating Systems

How to choose data in the cache?



Cryptography

How to design an encoding algorithm?



# Algorithms are useful!

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- We may need to sort something?
- We may need to find the best bundle?
- ...
- When the input is larger and larger,
- Algorithms are more and more important!

# Algorithms are fun!

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- Algorithm design is also an **art**!
- You will feel **excited** when you see a surprising algorithm!
- You will feel **thrilled** when you have created a surprising algorithm!
- Also, many interesting **research problems**...

What is going on?

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# Course goals

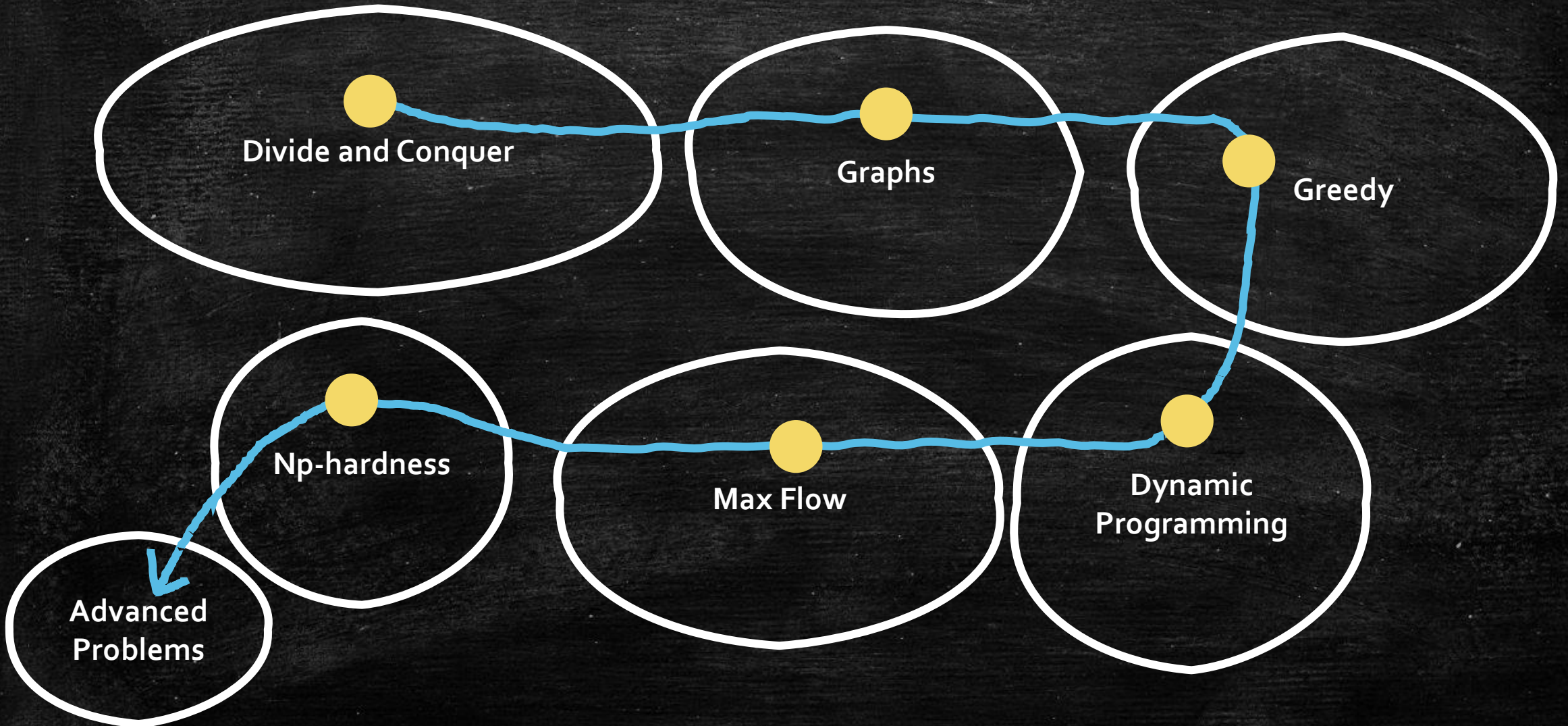
- The **design** and **analysis** of algorithms
- After this course, you will
  - Think **analytically** about algorithms
  - Clearly **communicate** your algorithmic idea
  - Equip with an **algorithmic toolkit**



- Use them **correctly**



# Roadmap



# Guide questions

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- Does the algorithm **work**?
- Is it **fast**?
- Can I do **better**?

# How to think?

- What is work?
- What is better?
- Do we need to consider worst case?
- Is there any corner case?

Listen to my idea, it is quite intuitive! It should work if everything goes well, trust me!

**Both side are necessary!**

- Detail-oriented
- Precise
- Rigorous

- Big-picture
- Intuitive
- Hand-wavey





# How to think in most of this course?

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- We usually talk about **Exact Algorithms**.
- Dose the algorithm **work**?
  - Return the optimal/correct answer
- Is it **fast**?
  - Time complexity
  - Worst case
- Can I do **better**?
  - More efficient
  - Better time complexity



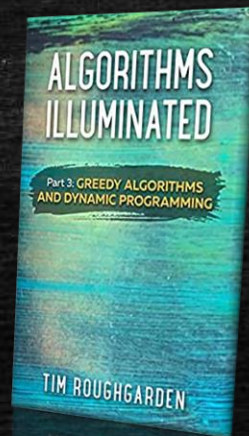
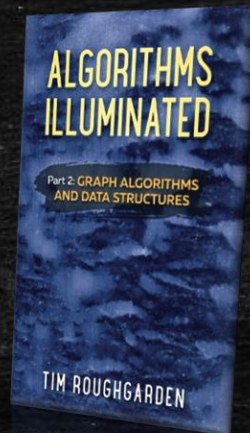
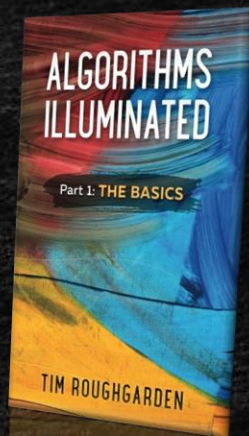
About the course?

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# References (optimal)

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- **Algorithms** by Dasgupta, Papadimitriou, Vazirani
- **Algorithms Illuminated**, Vols 1,2 and 3 by Tim Roughgarden




# Homework

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- Homework: **70%**
  - 12 (6 writing + 6 programming) homework:  $a \leq 60\%$
  - 1 midterm (in-class):  $b \leq 20\%$
- 1 final exam:  $c \leq 30\%$
- Overall:  $\min\{a + b, 70\} + c$
- We encourage **discussion**, but please try them on your **own** before discussion, and conclude them on your **own** after discussion.

# Talk to us and each other!

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- You can discuss with us at office hours.
  - Question: I do not know how to do it? 
  - Question: This is my approach, but I got a stuck here...
- Office hours
  - Yuhao (Fri 4:00~5:00pm)
  - Biaoshuai (Mon 3:00~4:00pm)
  - Jinyi (Fri 9:00~10:00am)
  - Zonghan (Thu 4:00~5:00pm)
- Wechat group
  - Check **CANVAS**

# Policy

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- We encourage discussion on homework, but you should **write down** your solution **on your own**.
- You must **cite** all collaborators, as well as all sources used (e.g., online materials).
- Late policy
  - Within 3 days: **50%** of your score
  - Out of 3 days: **0%**
  - Special Issue

# Feedback

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- It's my **first course**, so please tell me
  - The **pace** of the lecture
  - The **difficulty** of the homework
  - The **tpyos** in the sldies



# Today

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Integer Multiplication

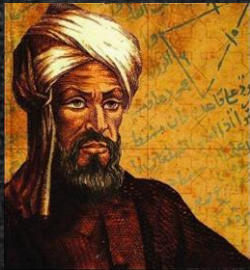
# Today's goal

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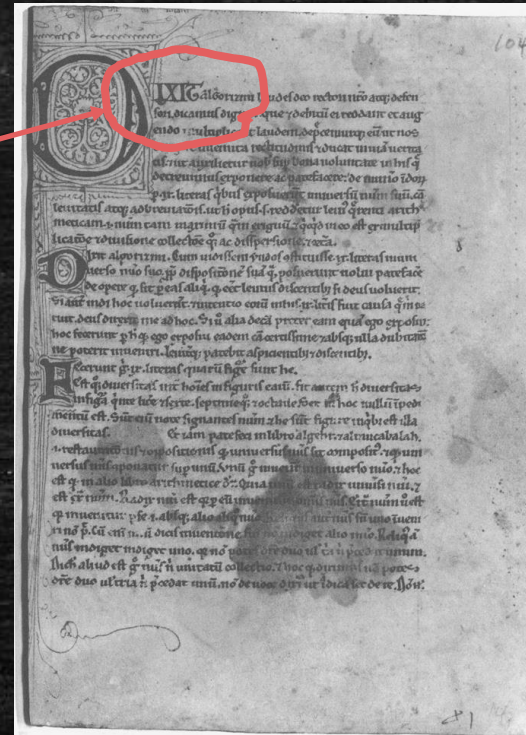
- Karatsuba Integer Multiplication
- Algorithmic Technique
  - Divide and conquer
- Algorithmic Analysis tool
  - Intro to asymptotic analysis

# Start at very beginning

- al-Khwarizmi



- Dixit algorizmi
- "Algorisme" [old French]
  - Arabic number system
  - "Algorithm"



# Integer Multiplication

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- How to calculate  $44 \times 34$

$$\begin{array}{r} 44 \\ \times 34 \\ \hline \end{array}$$

- How to calculate  $123555589 \times 987555321$

$$\begin{array}{r} 123555589 \\ \times 987555321 \\ \hline \end{array}$$

# How fast is it?

$$\begin{array}{r} \overbrace{123555589124435234523465324}^n \\ \times 875553211231231231231233123 \\ \hline \end{array}$$



How many 1-digit  
operation we  
need to make?

Roughly

- $n^2$  multiplication
- $n^2$  addition for carries
- $2n$  addition finally



$O(n^2)$

Can we do better?

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Let us buy our first tool!

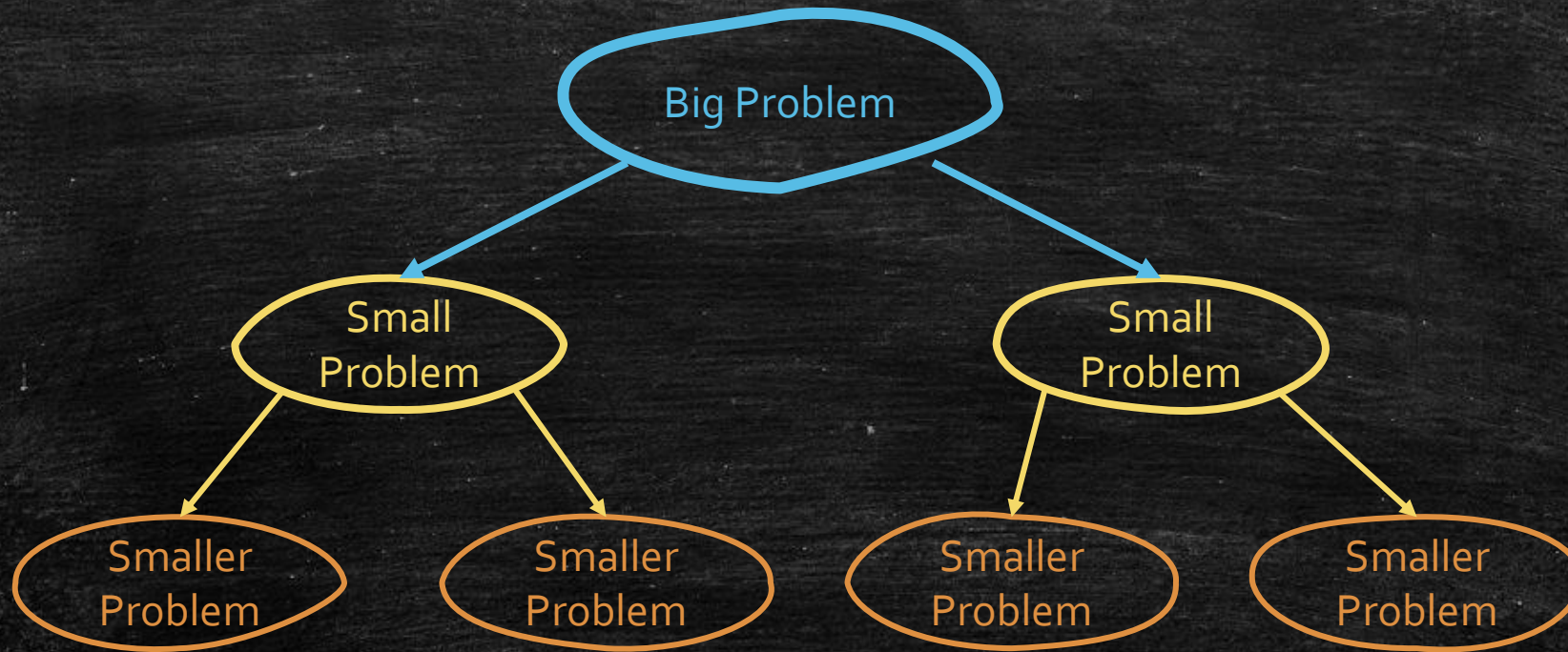


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Divide and conquer

# Divide and Conquer

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# Divide and conquer for multiplication

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- $1234 \times 5678$
- $1234 = 12 \times 100 + 34$
- $1234 \times 5678 = (12 \times 100 + 34)(56 \times 100 + 78)$   
 $= (12 \times 56) \cdot 10000 + (12 \times 78 + 34 \times 56) \cdot 100$   
 $+ 34 \times 78$
- 1 four-digit  $\rightarrow$  4 two-digit

# Generally?

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- Can we make it generally?
- Two  $n$  digit multiplications, suppose  $n$  is even
- Design a recursive algorithm for  $n$ , suppose  $n$  is 2's power.
- $$xy = \left(a \cdot 10^{\frac{n}{2}} + b\right) \left(c \cdot 10^{\frac{n}{2}} + d\right)$$
$$= ac \cdot 10^n + (ad + bc) \cdot 10^{\frac{n}{2}} + bd$$

# Running time, analytically

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- Main question: **Is it better than before?**
  - ~~Yes! Because we learn it in SJTU!~~
  - how many 1-digit multiplications we need for 1n-digit multiplication?
    - A:  $n^2$ ; B:  $n^3$ ; C:  $n$ ; D:  $n \log n$ ;
    - Run the algorithm for  $1234 \times 5678$ , how many 1-digit multiplications we need?
    - how many 1-digit multiplications we need for 1 8-digit multiplication?

# Analysis

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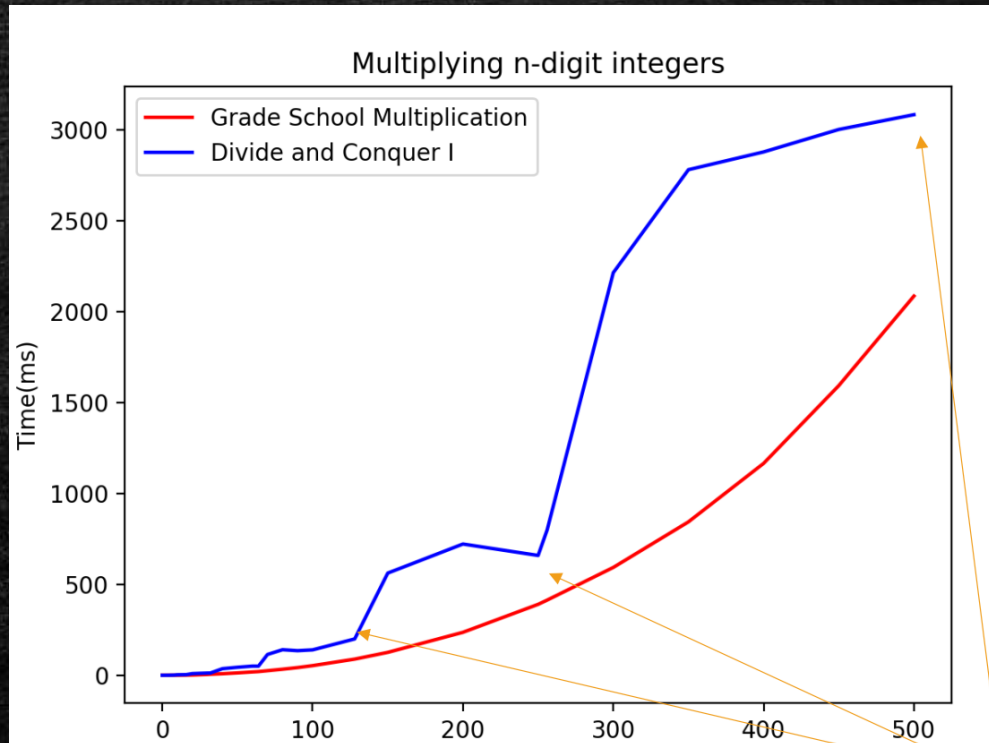
- Claim: we need  $n^2$  1-digit multiplications for 1  $n$ -digit multiplication.
- How many levels we need?
  - $\log_2 n$
- How many multiplications we need in level  $t = \log_2 n$ ?
  - Level 0: 1  $n \times n$
  - Level 1: 4  $\frac{n}{2} \times \frac{n}{2}$
  - Level 2: 16  $\frac{n}{4} \times \frac{n}{4}$
  - Level  $t$ :  $4^t$   $1 \times 1$
- Conclusion:  $4^{\log_2 n} = n^2$

It is just an analysis!

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# Experiments

- Claim: the grade school multiplication is better!



# What's wrong?

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- $xy = \left(a \cdot 10^{\frac{n}{2}} + b\right) \times \left(c \cdot 10^{\frac{n}{2}} + d\right)$   
 $= ac \cdot 10^n + (ad + bc) \cdot 10^{\frac{n}{2}} + bd$
- What do we need?
  - $ac$
  - **$ad + bc$**
  - $bd$
- What do we calculate
  - $ac$
  - **$ad$**
  - **$bc$**
  - $bd$

# Karatsuba Algorithm

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# Improve!

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- What do we need?
  - $ac$
  - $ad + bc$
  - $bd$
- How to get  $ad + bc$  without  $ad$  and  $bc$ ?
- Solution:
  - Calculate:  $ac, bd$
  - One more multiplication:  $z = (a + b)(c + d)$
  - Get  $ad + bc = (a + b)(c + d) - ac - bd$
  - $x \times y = \left(a \cdot 10^{\frac{n}{2}} + b\right) \times \left(c \cdot 10^{\frac{n}{2}} + d\right)$ 
    - $= ac \cdot 10^n + (ad + bc) \cdot 10^{\frac{n}{2}} + bd$
    - $= ac \cdot 10^n + (z - ac - bd) \cdot 10^{\frac{n}{2}} + bd$

# Improve!

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- What is the difference?
  - We now calculate
    - $ac$
    - $z = (a + b)(c + d)$
    - $bd$
  - One  $n$ -digit  $\rightarrow$  Three  $\frac{n}{2}$ -digit

# Make a guess!

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How fast is it?

# Is it fast?

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- Claim: we need  $n^{1.6}$  1-digit multiplication for 1  $n$ -digit multiplication.
- How many levels we need?
  - $\log_2 n$
- How many multiplications we need in level  $t$ ?
  - Level 0: 1  $n \times n$
  - Level 1: 3  $\frac{n}{2} \times \frac{n}{2}$
  - Level 2: 9  $\frac{n}{4} \times \frac{n}{4}$
  - Level  $t$ :  $3^t$   $1 \times 1$
- Conclusion:  $3^{\log_2 n} = n^{\log_2 3} \approx n^{1.6}$

What if  $n$  is **not** 2's power?

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Can we do better **again**?

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# Better algorithms

- Toom-Cook (1963): Breaking into size  $\frac{n}{3}$ -size problems make it better!  $\rightarrow O(n^{1.465})$
- Think:
  - how to break  $n \times n$  into  $5 \frac{n}{3} \times \frac{n}{3}$ ?
  - Given it is true, why it is  $n^{1.465}$ ?
- Schonhage-Strassen (1971):  $O(n \log n \log \log n)$
- Furer (2007):  $O(n \log n \log^* n)$
- Harvey and van der Hoeven (2019):  $O(n \log n)$

$$\log^* n := \begin{cases} 0 & \text{if } n \leq 1; \\ 1 + \log^*(\log n) & \text{if } n > 1 \end{cases}$$

Our work is expected to be the end of the road for this problem, although we don't know yet how to prove this rigorously.

# What about matrix?

- How to multiply two matrices

- $\begin{bmatrix} 2 & 9 \\ 7 & 5 \end{bmatrix} \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix} = \begin{bmatrix} 2 \times 1 + 9 \times 3 & 2 \times 2 + 9 \times 4 \\ 7 \times 1 + 5 \times 3 & 7 \times 2 + 5 \times 4 \end{bmatrix} = \begin{bmatrix} 29 & 40 \\ 22 & 34 \end{bmatrix}$

- $Z = XY$

- $z_{ik} = \sum_{1 \leq j \leq n} x_{ij} y_{jk}$

- How many integer multiplications?

- $n^2$  entries of  $Z$  to calculate
- Each takes  $n$  multiplications
- Totally  $n^3$

- What about running time?

Word Ram model?  
Turing model?



How to divide and conquer?

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# Divide and conquer

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- Key fact: If  $X = \begin{bmatrix} A & B \\ C & D \end{bmatrix}$ ,  $Y = \begin{bmatrix} E & F \\ G & H \end{bmatrix}$ .
  - $\begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} E & F \\ G & H \end{bmatrix} = \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & BF + DH \end{bmatrix}$
- How to divide and conquer?
  - 1  $n$ -size multiplication  $\rightarrow 8 \frac{n}{2}$ -size multiplications
    - $AE, BG, AF, BH, CE, DG, BF, DH$
  - How many integer multiplications?
  - $8^{\log_2 n} = n^3$
  - **The same problem as before!**

Do you have any approach?

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# Strassen's magical idea

- $P_1 = A(F - H)$

- $P_2 = (A + B)H$

- $P_3 = (C + D)E$

- $P_4 = D(G - E)$

- $P_5 = (A + D)(E + H)$

- $P_6 = (B - D)(G + H)$

- $P_7 = (A - C)(E + F)$

- $XY = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} E & F \\ G & H \end{bmatrix}$

$$= \begin{bmatrix} AE + BG & AF + BH \\ CE + DG & BF + DH \end{bmatrix}$$

$$= \begin{bmatrix} P_5 + P_4 - P_2 + P_6 & P_1 + P_2 \\ P_3 + P_4 & P_1 + P_5 - P_3 - P_7 \end{bmatrix}$$

- How many integer multiplications now?

- $7^{\log_2 n} = n^{\log_2 7} \approx n^{2.81}$

# Goals!

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- Course goals
  - Think **analytically** about algorithms
  - Clearly **communicate** your algorithmic idea
  - Equip with an **algorithmic toolkit**
- Today's goals
  - Karatsuba Integer Multiplication
  - Algorithmic Technique
    - Divide and conquer
  - Algorithmic Analysis tool
    - Intro to asymptotic analysis



How about the pace today?

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# Next time

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- More divide and conquer
- Before next time
  - Think the questions in the slides.
  - Join the wechat group!
  - Try the Online Judge System!

Welcome to discuss research  
problems with us!

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