

# Verified Transformation of Continuation-Passing Style into Static Single Assignment Form

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1. Background & Motivation
2. Implementation of CPS  $\Rightarrow$  SSA Transformation
3. Verification of CPS  $\Rightarrow$  SSA Transformation
4. Evaluation & Conclusion

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1. Background & Motivation
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# Intermediate Representations for Compilers

## CPS (Continuation-Passing Style)

- ▶ Compilers for **functional** programming languages.
- ▶ Every **control point** is explicitly named via continuations.
- ▶ Facilitate control-flow analysis.

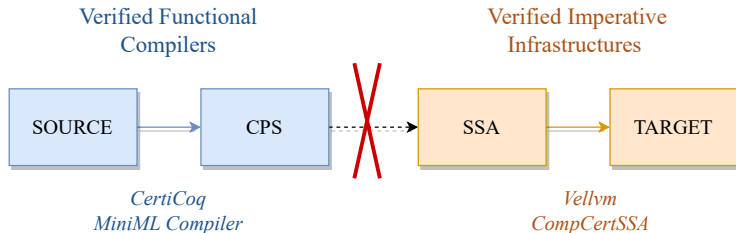
## SSA (Static Single Assignment)

- ▶ Mainstream compiler infrastructures like **LLVM** and **GCC**.
- ▶ Every **variable** could be assigned only once.
- ▶ Facilitate data-flow analysis.

*SSA programs can be represented by functional languages.*

# Motivation

There is **no verified transformation** from CPS to SSA.



Can verified compilers for **functional** programming languages exploit the benefits of **SSA**?

# Challenge & Approach

Most common techniques of compiler verification:

- ▶ Verification via **logical relations**  
    **Not compatible with** the existing SSA infrastructures
- ▶ Verification via **simulation**

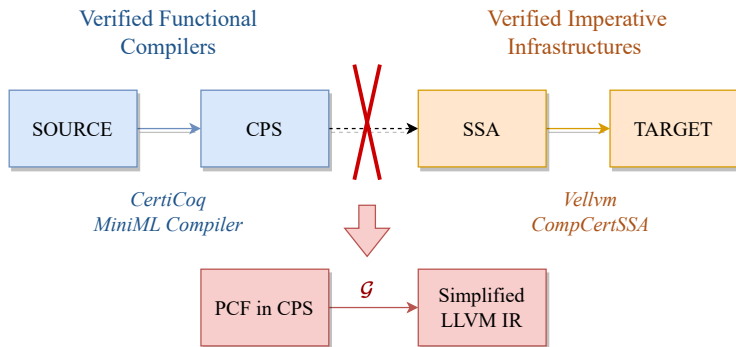
So we use verification via **simulation**.

Challenge: different components of program states:

- ▶ **CPS**: term, continuation information...
- ▶ **SSA**: program counter, variable information, stack...

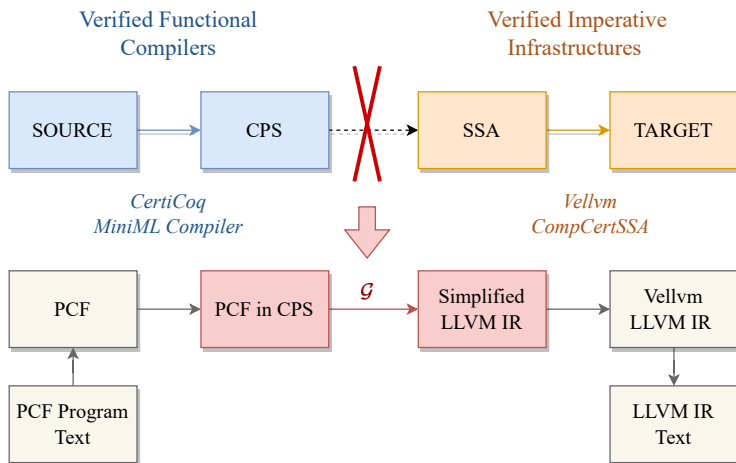
# Main Contributions 1

Design and verification of a transformation from **PCF in CPS** to **Simplified LLVM IR**.



# Main Contributions 2

Apply it to a compiler from PCF to LLVM IR.





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# Source Language: PCF in CPS

PCF in direct style  $\xrightarrow{\text{CPS Transformation}}$  PCF in CPS

## Syntax of PCF

$$\begin{aligned}
op &:= + \mid - \mid \times \mid \div \\
t &:= i \mid x \mid t_1 t_2 \mid \mathbf{ifz} \ t_1 \ t_2 \ t_3 \\
&\quad \mid op \ t_1 \ t_2 \mid \mathbf{let} \ x = t_1 \ \mathbf{in} \ t_2 \\
&\quad \mid \mathbf{fix} \ f \ x \ t
\end{aligned}$$

## Syntax of CPS

$$\begin{aligned}
v &:= i \mid x \\
t &:= \mathbf{letval} \ x = v \ \mathbf{in} \ t \\
&\quad \mid k \ v \mid f \ k \ v \mid \mathbf{ifz} \ v \ t_1 \ t_2 \\
&\quad \mid \mathbf{letop} \ x = op \ x_1 \ x_2 \ \mathbf{in} \ t \\
&\quad \mid \mathbf{letcont} \ k \ x = t_1 \ \mathbf{in} \ t_2 \\
&\quad \mid \mathbf{letfun} \ f \ k \ x = t_1 \ \mathbf{in} \ t_2
\end{aligned}$$

## Example of PCF

$$\begin{aligned}
&(\mathbf{fix} \ f \ x = \\
&\quad \mathbf{ifz} \ x \ 0 \ 1) \\
&2
\end{aligned}$$

## Example of CPS

$$\begin{aligned}
&\mathbf{letfun} \ f \ k \ x = (\mathbf{ifz} \ x \\
&\quad (\mathbf{letval} \ x_1 = 0 \ \mathbf{in} \\
&\quad\quad k \ x_1) \\
&\quad (\mathbf{letval} \ x_2 = 1 \ \mathbf{in} \\
&\quad\quad k \ x_2)) \ \mathbf{in} \\
&(\mathbf{letval} \ x_3 = 2 \ \mathbf{in} \\
&\quad (\mathbf{letcont} \ k_2 \ y = k_{\mathit{init}} \ y \ \mathbf{in} \\
&\quad\quad (f \ k_2 \ x_3)))
\end{aligned}$$

# Source Language: PCF in CPS

PCF in direct style  $\xrightarrow{\text{CPS Transformation}}$  PCF in CPS

## Syntax of PCF

$op := + \mid - \mid \times \mid \div$   
 $t := i \mid x \mid t_1 t_2 \mid \mathbf{ifz} \ t_1 \ t_2 \ t_3$   
 $\mid op \ t_1 \ t_2 \mid \mathbf{let} \ x = t_1 \ \mathbf{in} \ t_2$   
 $\mid \mathbf{fix} \ f \ x \ t$

## Syntax of CPS

$v := i \mid x$   
 $t := \mathbf{letval} \ x = v \ \mathbf{in} \ t$   
 $\mid k \ v \mid f \ k \ v \mid \mathbf{ifz} \ v \ t_1 \ t_2$   
 $\mid \mathbf{letop} \ x = op \ x_1 \ x_2 \ \mathbf{in} \ t$   
 $\mid \mathbf{letcont} \ k \ x = t_1 \ \mathbf{in} \ t_2$   
 $\mid \mathbf{letfun} \ f \ k \ x = t_1 \ \mathbf{in} \ t_2$

## Example of PCF

$(\mathbf{fix} \ f \ x =$   
 $\quad \mathbf{ifz} \ x \ 0 \ 1)$   
 $2$

## Example of CPS

$\mathbf{letfun} \ f \ k \ x = (\mathbf{ifz} \ x$   
 $\quad (\mathbf{letval} \ x_1 = 0 \ \mathbf{in}$   
 $\quad \quad k \ x_1)$   
 $\quad (\mathbf{letval} \ x_2 = 1 \ \mathbf{in}$   
 $\quad \quad k \ x_2)) \ \mathbf{in}$   
 $(\mathbf{letval} \ x_3 = 2 \ \mathbf{in}$   
 $\quad (\mathbf{letcont} \ k_2 \ y = k_{init} \ y \ \mathbf{in}$   
 $\quad \quad (f \ k_2 \ x_3)))$

# Target SSA Language

## Syntax

$t := \bar{f}$   
 $f := \mathbf{define} \ l_1(l_2) \ \bar{b}$   
 $b := l : \bar{\phi} \ \bar{a} \ r$   
 $a := x = c;$   
 $c := v \mid \mathit{op} \ v_1 \ v_2$   
           $\mid \mathbf{icmp} \ v_1 \ v_2 \mid \mathbf{call} \ x \ v$   
 $\mathit{phi} := x = \bar{\phi} \ (\bar{l}, \ v);$   
 $r := \mathbf{ret} \ v \mid \mathbf{br}_{\mathbf{uc}} \ l$   
           $\mid \mathbf{br}_{\mathbf{c}} \ v \ l_1 \ l_2$   
 $l := \mathit{string} \quad v := i \mid x$

## Example of SSA

**define**  $f(x)$   
   $b_1 : b_0 = \mathbf{icmp} \ x \ 0; \ \mathbf{br}_{\mathbf{c}} \ b_0 \ t_0 \ f_0;$   
   $t_0 : x_1 = 0; \ \mathbf{br}_{\mathbf{uc}} \ \mathit{if}_0;$   
   $f_0 : x_2 = 1; \ \mathbf{br}_{\mathbf{uc}} \ \mathit{if}_0;$   
   $\mathit{if}_0 : r_x = \bar{\phi} \ [ (t_0, x_1), (f_0, x_2) ]; \ \mathbf{ret} \ r_x;$   
**define**  $\mathit{main}()$   
   $b_1 : x_3 = 2; \ y = \mathbf{call} \ f \ x_3; \ \mathbf{br}_{\mathbf{uc}} \ k_2;$   
   $k_2 : r_{k2} = y; \ \mathbf{ret} \ r_{k2};$

# CPS $\Rightarrow$ SSA Transformation

## Key ideas:

CPS

SSA

Variable binding  $\Rightarrow$  Assignment to a fresh variable

Continuation  $\Rightarrow$  A new basic block

Multiple application of  
the same continuation  $\Rightarrow$  A  $\Phi$ -node

...

# CPS $\Rightarrow$ SSA Transformation

$\mathcal{G}$ : a recursive function

1. **START:**  $\mathcal{G}$  takes the CPS term and an empty SSA program with main function.
2.  $\mathcal{G}$  recursively translates the CPS term:
  - ▶ Puts new components (basic blocks, instructions...) into the SSA program.
  - ▶ Updates the parameters.
3. **Translation is finished:** Return the current SSA program.

# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

```
letfun  $f$   $k$   $x =$  (ifz  $x$ 
  (letval  $x_1 = 0$  in
     $k$   $x_1$ )
  (letval  $x_2 = 1$  in
     $k$   $x_2$ ))
(letval  $x_3 = 2$  in
  (letcont  $k_2$   $y =$ 
     $k_{init}$   $y$  in
    ( $f$   $k_2$   $x_3$ ))))
```

## SSA Program

```
define  $f$  ( $x$ )
   $b_1$  :  $b_0 = \text{icmp } x \ 0$ ; brc  $b_0$   $t_0$   $f_0$ ;
   $t_0$  :  $x_1 = 0$ ; bruc  $if_0$ ;
   $f_0$  :  $x_2 = 1$ ; bruc  $if_0$ ;
   $if_0$  :  $r_x = \phi [(t_0, x_1), (f_0, x_2)]$ ; ret  $r_x$ ;

define  $main$  ()
   $b_1$  :  $x_3 = 2$ ;  $y = \text{call } f$   $x_3$ ; bruc  $k_2$ ;
   $k_2$  :  $r_{k2} = y$ ; ret  $r_{k2}$ ;
```

# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

```
letfun  $f$   $k$   $x =$  (ifz  $x$ 
  (letval  $x_1 = 0$  in
     $k$   $x_1$ )
  (letval  $x_2 = 1$  in
     $k$   $x_2$ ))
(letval  $x_3 = 2$  in
  (letcont  $k_2$   $y =$ 
     $k_{init}$   $y$  in
    ( $f$   $k_2$   $x_3$ ))))
```

## SSA Program

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define  $f$  ( $x$ )
   $b_1$  :  $b_0 = \text{icmp } x$   $0$ ; brc  $b_0$   $t_0$   $f_0$ ;
   $t_0$  :  $x_1 = 0$ ; bruc  $if_0$ ;
   $f_0$  :  $x_2 = 1$ ; bruc  $if_0$ ;
   $if_0$  :  $r_x = \phi [(t_0, x_1), (f_0, x_2)]$ ; ret  $r_x$ ;

define  $main$  ()
   $b_1$  :  $x_3 = 2$ ;  $y = \text{call } f$   $x_3$ ; bruc  $k_2$ ;
   $k_2$  :  $r_{k2} = y$ ; ret  $r_{k2}$ ;
```



# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

```
letfun f k x = (ifz x
  (letval x1 = 0 in
    k x1)
  (letval x2 = 1 in
    k x2))
(letval x3 = 2 in
  (letcont k2 y =
    kinit y in
    (f k2 x3)))
```

## SSA Program

```
define f (x)
  b1 : b0 = icmp x 0; brc b0 t0 f0;
  t0 : x1 = 0; bruc if0;
  f0 : x2 = 1; bruc if0;
  if0 : rx =  $\phi$  [(t0, x1), (f0, x2)]; ret rx;

define main ()
  b1 : x3 = 2; y = call f x3; bruc k2;
  k2 : rk2 = y; ret rk2;
```

# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

```
letfun f k x = (ifz x
  (letval x1 = 0 in
    k x1)
  (letval x2 = 1 in
    k x2))
(letval x3 = 2 in
  (letcont k2 y =
    kinit y in
    (f k2 x3)))
```

## SSA Program

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define f (x)
  b1 : b0 = icmp x 0; brc b0 t0 f0;
  t0 : x1 = 0; bruc if0;
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define main ()
  b1 : x3 = 2; y = call f x3; bruc k2;
  k2 : rk2 = y; ret rk2;
```

# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

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letfun f k x = (ifz x
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## SSA Program

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define f (x)
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define main ()
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# Example of CPS $\Rightarrow$ SSA Transformation

## CPS Program

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  (letval x1 = 0 in
    k x1)
  (letval x2 = 1 in
    k x2))
(letval x3 = 2 in
  (letcont k2 y =
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    (f k2 x3))))
```

## SSA Program

```
define f (x)
  b1 : b0 = icmp x 0; brc b0 t0 f0;
  t0 : x1 = 0; bruc if0;
  f0 : x2 = 1; bruc if0;
  if0 : rx = [(t0, x1), (f0, x2)]; ret rx;

define main ()
  b1 : x3 = 2; y = call f x3; bruc k2;
  k2 : rk2 = y; ret rk2;
```

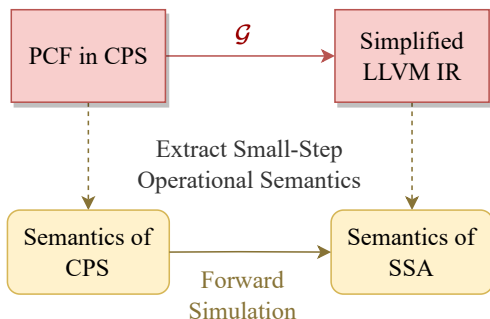
## Observations:

- ▶ Continuations imply the  $\phi$ -nodes we need to insert.
- ▶ Fresh variables make sure every variable is assigned once.

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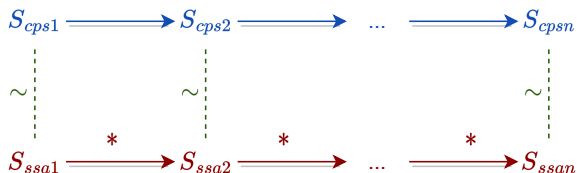
# Verification via Simulation



What we need to verify via simulation:

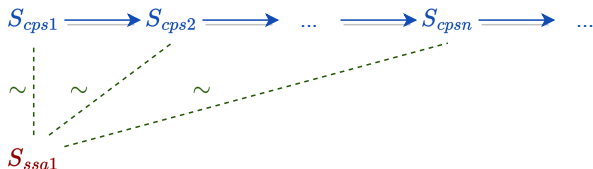
Target programs preserve the behavior of source programs.

# Forward Simulation From CompCert



1. Invariant between the program states of **CPS** and **SSA**:  
 $S_{cps} \sim S_{ssa}$
2. The invariant holds at the beginning:  
 $\text{initial}(t_{cps}) \sim \text{initial}(t_{ssa})$ .
3. Simulation for internal executions (Star Simulation).
4. Then we can derive: the invariant holds at the end.

# Prevent Infinite Stuttering



- ▶ Define a measure function  $M$  for source states.
- ▶  $M$  is strictly decreasing:  $S_{cps1} \rightarrow S_{cps2}$ ,  $M(S_{cps1}) > M(S_{cps2})$ .
- ▶ Stuttering could happen when a **letcont** term is evaluated.
- ▶ We use the number of **letcont** structures as  $M$ .



# Forward Simulation

Take the CPS and SSA programs introduced before as an example:

CPS Program

```
(letcont  $k_2$   $y =$   
   $k_{init}$   $y$  in  
  ( $f$   $k_2$   $x_3$ )))
```

SSA Program

```
define main ()  
   $b_1$  :  $x_3 = 2$ ;  $y = \text{call } f \ x_3$ ; bruc  $k_2$ ;  
   $k_2$  :  $r_{k_2} = y$ ; ret  $r_{k_2}$ ;
```

$S_{cps1}$  : (( $f$   $k_2$   $x_3$ ),  $loc$ )

$S_{ssa1}$  : ( $t_{ssa}$ , ( $main$ ,  $b_1$ , 1), ( $main$ , empty, 0),  $loc_{ssa}$ ,  $S_{empty}$ )

$S_{cps1}$



$S_{ssa1}$

# Forward Simulation

Take the CPS and SSA programs introduced before as an example:

CPS Program

```
(letcont k2 y =  
  kinit y in  
  (f k2 x3)))
```

SSA Program

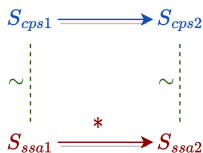
```
define main ()  
  b1 : x3 = 2; y = call f x3; bruc k2;  
  k2 : rk2 = y; ret rk2;
```

$S_{cps1} : ((f\ k_2\ x_3), loc)$

$S_{ssa1} : (t_{ssa}, (main, b_1, 1), (main, empty, 0), loc_{ssa}, S_{empty})$

$S_{cps2} : ((k_{init}\ y), loc [k_2 \mapsto t_{cps}])$

$S_{ssa2} : (t_{ssa}, (main, k_2, 0), (main, b_1, 1), loc_{ssa}, S_{empty})$



# Forward Simulation

Take the CPS and SSA programs introduced before as an example:

CPS Program

```
(letcont k2 y =  
  kinit y in  
  (f k2 x3)))
```

SSA Program

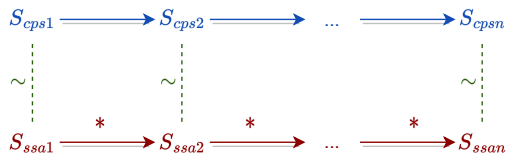
```
define main ()  
  b1 : x3 = 2; y = call f x3; bruc k2;  
  k2 : rk2 = y; ret rk2;
```

$S_{cps1} : ((f\ k_2\ x_3), loc)$

$S_{ssa1} : (t_{ssa}, (main, b_1, 1), (main, empty, 0), loc_{ssa}, s_{empty})$

$S_{cps2} : ((k_{init}\ y), loc [k_2 \mapsto t_{cps}])$

$S_{ssa2} : (t_{ssa}, (main, k_2, 0), (main, b_1, 1), loc_{ssa}, s_{empty})$



# Semantics

## Small-step Operational Semantics of CPS

Judgement:  $(t_{cps}, loc_{cps}) \rightarrow (t'_{cps}, loc'_{cps})$

Rule: 
$$\frac{loc_{cps} k = (\mathbf{letcont} k x = t_1 \mathbf{in} t_2)}{(k v, loc_{cps}) \rightarrow (t_1[v/x], loc_{cps})}$$

...

## Small-step Operational Semantics of SSA

Judgement:  $(pc, ppc, loc_{ssa}, s_{ssa}) \rightarrow (pc', ppc', loc'_{ssa}, s'_{ssa})$

Rule:

$$\frac{\mathbf{code}_{at} pc = (y = \mathbf{call} f v_0) \quad \mathbf{arg} f = x}{(pc, ppc, loc_{ssa}, s_{ssa}) \rightarrow ((f, b_1, 0), pc, loc_{ssa} [x \mapsto v_0], \mathbf{push} s_{ssa} pc)}$$

...

# Forward Simulation

Define the invariant  $\sim$ :

Predicate between CPS program states and SSA program states

$$\frac{\text{loc}_{cps} k = \mathbf{letcont} k x_1 = t \mathbf{in} u \quad \mathbf{code}_{at} pc = x_1 = x \quad \mathbf{code}_{at} (pc + 1) = \mathbf{br}_{uc} k}{(k x, \text{loc}_{cps}) \sim (t_{ssa}, pc, ppc, \text{loc}_{ssa} x_1 \mapsto x, s_{ssa})}$$

$$\frac{t_{cps} = \mathbf{letcont} k x_1 = t \mathbf{in} u \quad (u, \text{loc}_{cps}) \sim (t_{ssa}, pc, ppc, \text{loc}_{ssa}, s_{ssa}) \quad (t, \text{loc}_{cps} k \mapsto t_{cps}) \sim (t_{ssa}, (pc.lf, k, 0), pc, \text{loc}_{ssa}, s_{ssa})}{(t_{cps}, \text{loc}_{cps} k \mapsto t_{cps}) \sim (t_{ssa}, pc, ppc, \text{loc}_{ssa}, s_{ssa})}$$

...

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# Development & Evaluation

Development is carried out in **Coq**  
(PCF parser is implemented in **OCaml**).

The complete artifact can be accessed in Zenodo:

<https://zenodo.org/record/7882331>

May 1, 2023

Software Open Access

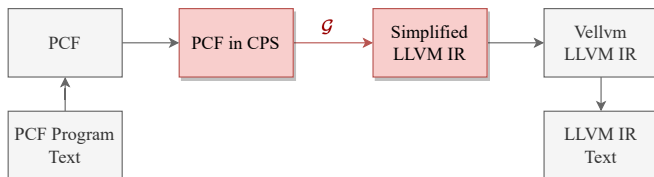
## Verified transformation from CPS to SSA

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Artifact for TASE23: Verified Transformation of Continuation-Passing Style into Static Single Assignment Form.

Categories	Contents	LOC	Proportion(%)
Language Definitions	PCF, CPS, SSA	702	23.9
Transformations	PCF→CPS, CPS→SSA, SSA→Vellvm LLVM IR	717	24.5
Verification	PCF→CPS Forward Simulation, CPS→SSA Forward Simulation, Combination of Forward Simulation, Backward Simulation	1513	51.6

# Conclusion



- ▶ Provide a **verified transformation** algorithm from CPS to SSA.
- ▶ Build a **prototype compiler** for PCF that targets LLVM IR.
- ▶ Provides a **foundation** for developing verified functional compilers that may exploit the benefits of SSA compilation infrastructures.

## In the future

**Link** verified functional compilers to verified SSA infrastructures.



Thank You For Listening!